

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 1189-PCT-US U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 40/578762 Not Yet Known
INTERNATIONAL APPLICATION NO. PCT/SG2003/000259	INTERNATIONAL FILING DATE November 7, 2003	PRIORITY DATE CLAIMED November 7, 2003
TITLE OF INVENTION ORTHODONTIC APPLIANCE		
APPLICANT(S) FOR DO/EO/US Kok Liang TAN and Hong Meng LIM		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 		

AP20 Rec'd PCT/PTO 05 MAY 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.55) Not Yet Known 10/378762	INTERNATIONAL APPLICATION NO. PCT/SG2003/000259	ATTORNEY'S DOCKET NUMBER 1189-PCT-US
--	--	---

20. Other items or information:

September 2, 2005 PCT/IB/306 PCT Notification of the Recording of a Change
 January 24, 2006 PCT International Preliminary Examination Report

The following fees have been submitted	CALCULATIONS	PTO USE ONLY																				
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300	\$ 300.00																					
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))																						
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0	\$ 200.00																					
All other situations..... \$200																						
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))																						
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0																						
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100	\$ 400.00																					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400																						
All other situations..... \$500																						
TOTAL OF 21, 22 and 23 =	900.00																					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																						
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">Total Sheets</th> <th style="width:15%;">Extra Sheets</th> <th style="width:35%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width:35%;">RATE</th> </tr> <tr> <td>18 - 100 =</td> <td>0 / 50 =</td> <td></td> <td>x \$250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	18 - 100 =	0 / 50 =		x \$250	\$ 0.00													
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																			
18 - 100 =	0 / 50 =		x \$250																			
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).	\$																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:15%;">NUMBER FILED</th> <th style="width:15%;">NUMBER EXTRA</th> <th style="width:15%;">RATE</th> <th style="width:40%;">\$</th> </tr> <tr> <td>Total claims</td> <td>13 - 20 =</td> <td>0</td> <td>x \$ 50</td> <td>\$ 0</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$200</td> <td>\$ 0</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$360</td> <td>\$ 0.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total claims	13 - 20 =	0	x \$ 50	\$ 0	Independent claims	1 - 3 =	0	x \$200	\$ 0	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																		
Total claims	13 - 20 =	0	x \$ 50	\$ 0																		
Independent claims	1 - 3 =	0	x \$200	\$ 0																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0.00																		
TOTAL OF ABOVE CALCULATIONS =	\$ 900.00																					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.																						
SUBTOTAL =	\$ 900.00																					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).	\$																					
TOTAL NATIONAL FEE =	\$ 900.00																					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	\$																					
TOTAL FEES ENCLOSED =	\$ 900.00																					
	Amount to be refunded:	\$																				
	Amount to be charged	\$																				

APR 2006 PCT/PTO 5 MAY 2006

- a. ☒ A check in the amount of \$ \$900.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-1891 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1891. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Albert Wai-Kit Chan
Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue, Whitestone, NY 11357
Tel: 718-799-1000
Fax: 718-357-8615

Albert Wai Kit Chan

SIGNATURE

Albert Wai-Kit Chan

NAME

36,479

REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kok Liang TAN and Hong Meng LIM
U.S. Serial No.: Not Yet Known, National Stage Application
of International Application No.
PCT/SG2003/000259, filed November 7, 2003
Filed : Herewith
For : ORTHODONTIC APPLIANCE

Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, NY 11357

May 5, 2006

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

EXPRESS MAIL CERTIFICATE OF MAILING
IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV 766839338 US

Date of Deposit: May 5, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Printed Name: Joseph Giordano

Respectfully submitted,

Albert Wai-Kit Chan

Albert Wai-Kit Chan
Reg. No. 36,479
Attorney for Applicants
Law Offices of
Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, NY 11357
Tel: (718) 799-1000
Fax: (718) 357-8615
E-mail: chank@kitchanlaw.com